**ONE-TIME COMPLIANCE REPORT FOR DENTAL DISCHARGERS**

**Instructions:**

The Environmental Protection Agency (EPA) published regulations for Dental Offices to control mercury amalgam discharges to the wastewater collection system (40 CFR Part 441) and requirements were incorporated into the District’s Municipal Regulations in Title 21 Chapter 15 § 1520. Unless exempted in accordance with 21 DCMR 1520.1 and 40 CFR 441.10, the regulations require completion of a One-Time Compliance Report as follows:

* Existing Dental Dischargers (all active dental facilities that practiced dentistry prior to July 14, 2017) and submittal to DC Water no later than October 12, 2020.
* New Dental Dischargers (dental facilities that started practicing on July 14, 2017, or later) are required to complete the One-Time Compliance Report within 90 days of commencing operation.

**Please complete a separate form for each office location in Washington DC.** The completed and **original** signed form shall be mailed to: Pretreatment Program Manager, DC Water Wastewater Treatment, 5000 Overlook Avenue, S.W., Washington, D.C. 20032. If you have questions regarding the completion of this form, please call 202-787-4177.

**General Information**

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| --- |
| Name of Facility |
|  |
| Physical Address of Dental Facility |
|  |
| City: |  | State: |  | Zip: |  |
| Mailing Address |
|  |
| City: |  | State: |  | Zip: |  |
| Facility Contact  |
|  |
| Phone: |  | Email: |  |
| Names of Owner(s): |  |
| Names of Operator(s) if different from Owner(s): |  |

**Applicability: Please Select One of the Following**

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|[ ]  This facility is a dental discharger subject to this rule ([40 CFR Part 441](https://www.federalregister.gov/d/2017-12338/p-264) and 21 DCMR § 1520) and it places or removes dental amalgam. ***Complete sections A, B, C, D, and E*** |
|[ ]  This facility is a dental discharger subject to this rule and (1) it does not place dental amalgam, and (2) it does not remove amalgam except in limited emergency or unplanned, unanticipated circumstances (less than 9 fillings removed per year). ***Complete section E only*** |
| **(A*lso,* *select if applicable*) Transfer of Ownership** (40 CFR [§441.50(a)(4)](https://www.federalregister.gov/d/2017-12338/p-321) and 21 DCMR § 1520.6(e)**)** |
|[ ]  This facility is a dental discharger subject to this rule ([40 CFR Part 441](https://www.ecfr.gov/cgi-bin/text-idx?SID=c7d79f042ec05143ccc83e8a8d216342&mc=true&node=pt40.32.441&rgn=div5) and 21 DCMR § 1520), and it has previously submitted a one-time compliance report. This facility is submitting a new One Time Compliance Report because of a transfer of ownership as required by 40 CFR [§441.50(a)(4)](https://www.federalregister.gov/d/2017-12338/p-321) and 21 DCMR § 1520.6(e). |

**Section A**

**Description of Facility**

|  |  |
| --- | --- |
| Total number of chairs: |  |
| Total number of chairs at which amalgam may be present in the resulting wastewater (i.e., chairs where amalgam may be placed or removed): |  |
| Description of any amalgam separator(s) or equivalent device(s) currently operated:  |
|  |
| YES [ ]  | NO [ ]  | The facility discharged amalgam process wastewater prior to July 14th, 2017 under any ownership. |

**Section B**

**Description of Amalgam Separatoror Equivalent Device (check all that apply)**

|  |  |  |
| --- | --- | --- |
|  [ ]  | The dental facility has installed one or more ISO 11143 (or ANSI/ADA 108-2009) compliant amalgam separators (or equivalent devices) that captures all amalgam containing waste at the following number of chairs at which amalgam placement or removal may occur: | *Chairs:* |
|  [ ]  | The dental facility installed prior to June 14, 2017 one or more existing amalgam separators that do not meet the requirements of 40 CFR [§ 441.30(a)(1)(i) and (ii)](https://www.federalregister.gov/d/2017-12338/p-284) and 21 DCMR § 1520.4(a)(1)(i) and (ii) at the following number of chairs at which amalgam placement or removal may occur: | *Chairs:* |
| I understand that such separators must be replaced with one or more amalgam separators (or equivalent devices) that meet the requirements of 40 CFR [§ 441.30(a)(1)](https://www.federalregister.gov/d/2017-12338/p-284) and 21 DCMR § 1520.4(a)(1) or 40 CFR [§ 441.30(a)(2)](https://www.federalregister.gov/d/2017-12338/p-290) and 21 DCMR § 1520.4(a)(2), after their useful life has ended, and no later than June 14, 2027, whichever is sooner. |
| **Make** | **Model** | **Year of installation** |
|   |   |   |
|   |   |   |
|  |  |  |
|   |   |   |
|  [ ]  | My facility operates an equivalent device. |
| **Make** | **Model** | **Year of installation** | **Average removal efficiency of equivalent device** |
|   |   |   |  |
|   |   |   |  |
|   |   |   |  |

**\*as determined per 40 CFR** [**§ 441.30(a)(2)i- iii**](https://www.federalregister.gov/d/2017-12338/p-290) **and 21 DCMR 1520.4(a)(2)i- iii.**

**Section C**

**Design, Operation and Maintenance of Amalgam Separator/Equivalent Device (check all that apply)**

|  |  |  |
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| ☐ | YES | I certify that the amalgam separator (or equivalent device) is designed and will be operated and maintained to meet the requirements in 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309) and 21 DCMR § 1520.4(a).  |
| A third-party service provider is under contract with this facility to ensure proper operation and maintenance in accordance with 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309) and 21 DCMR § 1520.4(a). |
|
| [ ]  | YES | Name of third-party service provider (e.g. Company Name) that maintains the amalgam separator or equivalent device (if applicable): |   |
|
| [ ]  | NO | If none, provide a description of the practices employed by the facility to ensure proper operation and maintenance in accordance with 40 CFR [§ 441.30](https://www.federalregister.gov/d/2017-12338/p-281) or [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309) and 21 DCMR § 1520.4(a). |
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|  *Describe practices:* |
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**Section D**

**Best Management Practices (BMP) Certifications (check to certify all required BMPs are being implemented)**

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|[ ]  The above named dental discharger is implementing the following BMPs as specified in 40 CFR [§ 441.30(b)](https://www.federalregister.gov/d/2017-12338/p-299) or [§ 441.40](https://www.federalregister.gov/d/2017-12338/p-309) and 21 DCMR § 1520.4(b) and will continue to do so.* Waste amalgam including, but not limited to, dental amalgam from chair-side traps, screens, vacuum pump filters, dental tools, cuspidors, or collection devices, must not be discharged to a publicly owned treatment works (e.g., municipal sewage system).
* Dental unit water lines, chair-side traps, and vacuum lines that discharge amalgam process wastewater to a publicly owned treatment works (e.g., municipal sewage system) must not be cleaned with oxidizing or acidic cleaners, including but not limited to bleach, chlorine, iodine and peroxide that have a pH lower than 6 or greater than 8 (i.e. cleaners that may increase the dissolution of mercury).
* Dental chairside traps, vacuum screens, and amalgam separator equipment must not be rinsed in a sink, toilet, or into any other sanitary discharge connection.
* Dental Discharge facility staff must be trained in the handling and disposal of mercury amalgam materials and waste. Training shall be completed within one year for new hires and all staff shall be retrained once every three years.
* The storage, handling, and disposal/recycling of all amalgam waste must be done in accordance with District of Columbia, state, and federal requirements.
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**Section E**

**Certification Statement**

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| Per40 CFR[§ 441.50(a)(2)](https://www.federalregister.gov/d/2017-12338/p-312) and 21 DCMR § 1520.6(b), the One-Time Compliance Report must be signed and certified by a responsible corporate officer, a general partner or proprietor if the dental facility is a partnership or sole proprietorship, or a duly authorized representative in accordance with the requirements of 40 CFR [§ 403.12(l)](https://www.ecfr.gov/cgi-bin/text-idx?SID=c72f4432eed7748fd20b225be969e21e&mc=true&node=se40.31.403_112&rgn=div8) and 21 DCMR § 1508.11.  |
| *“I am a responsible corporate officer, a general partner or proprietor (if the facility is a partnership or sole proprietorship), or a duly authorized representative in accordance with the requirements of* § *403.12(l) of the above named dental facility, and certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.”* |
| Authorized Representative Name *(print name):*  |  |
| Authorized Representative Title: |  |
| Phone: |  | Email: |  |
|  |  |
| *Authorized Representative Signature* | *Date* |

***Replacement of Amalgam Separator*; per 21 DCMR § 1520.6(d)**

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| Existing and New Dental Dischargers shall submit an amended One Time Compliance Report to DC Water no later than ninety days after replacement, if the amalgam separator or equivalent device is replaced after the submittal of a One-Time Compliance Report. |

***One Time Compliance Report Retention Period*; per 40 CFR** [**§ 441.50(a)(5)**](https://www.federalregister.gov/d/2017-12338/p-322) **and 21 DCMR § 1520.7(a)**

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| As long as a Dental facility subject to this part is in operation, or until an amended One-Time Compliance Report is submitted or ownership is transferred, the Dental facility or an agent or representative of the dental facility must maintain this One Time Compliance Report and make it available for inspection in either physical or electronic form. |

***General Document Retention*; per 21 DCMR § 1520.7(b)**

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| --- |
| Dental Dischargers must maintain and make available for inspection in either physical or electronic form, for a minimum of three years or until updated, whichever is longer, the following documents, including but not limited to: amalgam separator inspection information, amalgam retaining container replacement information including date, amalgam pickup and/or shipment information, documentation of repairs and replacement (if replaced, must submit a new One-Time Compliance Report), amalgam separator operating manual, and staff training records. |